



BROKERAGE ACCOUNT APPLICATION

<input type="checkbox"/> All fields required <input type="checkbox"/> Only marked fields required						
CLIENT IDENTIFICATION DATA*						
CLIENT*	First name, Last name					
	Organization name					
	Birth date		Taxpayer's code			
	Citizenship					
	Passport number/ registration number					
ADDRESS/ LOCATION*	Address					
	City		Postal Code			
	Country		Region			
TELEPHONE*	Home		Work		Cell.	
E-MAIL*	Main		Alternative			
ACTIVITIES* (only in case of legal entities)						
CENTER OF SOCIAL INTERESTS (COUNTRY)* (If the Client is a foreign legal entity or individual or an entity that hasn't status of legal entity according to the foreign legislation)						
INVESTMENT OBJECTIVES	<p>Pursuant to legislation in force in the Republic of Armenia, your broker company is required to ask you to list your investment objective for your account. Set forth are descriptions and examples of typical investments for the basic investment objectives. Please rank your investment objectives for this account in order of importance (Highest = 1). If at any time you would like to revise your investment objective please notify your broker.</p> <p>The account holder assumes the full responsibility to ensure that the most appropriate description of the investment objectives for the account is provided.</p> <p><input type="checkbox"/> Preservation of Capital <i>An Investment objective of Preservation of Capital indicates you seek to maintain the principal value of your investment and are interested in investments that have historically demonstrated a very low degree of risk of loss of principal values. Some examples of typical investments might include money market funds and high quality, short-term fixed income products.</i></p> <p><input type="checkbox"/> Income <i>An investment objective of Income indicates you seek to generate income from investments and are interested in investments that have historically demonstrated a low degree of risk of loss of principal value. Some examples of typical investments might include high quality, short- and medium-term fixed income products, short-term bond funds and covered call options.</i></p> <p><input type="checkbox"/> Capital Appreciation <i>An investment objective of Capital Appreciation indicates you seek to grow the principal value of your investments over time and are willing to invest in securities that have historically demonstrated a moderate to above average degree of risk of loss of principal value to pursue this objective. Some examples of typical investments might include common stocks, lower quality, medium-term fixed income products, equity mutual funds and index funds.</i></p>					

	<input type="checkbox"/> Trading profits <i>An investment objective of Trading Profits indicates you seek to take advantage of short-term trading opportunities, which may involve establishing and liquidating positions quickly. Some examples of typical investments might include short-term purchases and sales of volatile or low priced common stocks, put or call options, spreads, straddles and/or combinations on equities or indexes. This is a high-risk strategy.</i>				
	<input type="checkbox"/> Speculation <i>An investment objective of Speculation indicates you seek a significant increase in the principal value of your investments and are willing to accept a corresponding greater degree of risk by investing in securities that have historically demonstrated a high degree of risk of loss to pursue this objective. Some examples of typical investments might include lower quality, long-term fixed income products, initial public offerings, volatile or low priced common stocks, the purchase or sale of put or call options, spreads, straddles and/or combinations on equities or indexes, and the use of short-term or day trading strategies.</i>				
	<input type="checkbox"/> Other (please mark) _____				
RISK TOLERANCE	<input type="checkbox"/> Conservative <input type="checkbox"/> Moderate <input type="checkbox"/> Aggressive <input type="checkbox"/> Other _____				
TIME HORIZON	<input type="checkbox"/> Short-term (0-5 years) <input type="checkbox"/> Mid-term (6-10 years) <input type="checkbox"/> Long-term (more than 10 years) <input type="checkbox"/> combination				
REAL BENEFICIARY* (if the client is legal entity, owner of 20 and more percent of shares)	First name, Last name	Passport number and series	Address	Shares (amount)	Shares (%)
SOURCES OF FUNDS FOR INVESTMENTS*					
INVESTMENT EXPERIENCE* (in case of legal entity – director's)	<input type="checkbox"/> Extensive <input type="checkbox"/> Good <input type="checkbox"/> Limited <input type="checkbox"/> No experience				
	Investment services that the client has dealt with <input type="checkbox"/> acceptance and transfer of transactions execution orders from the clients <input type="checkbox"/> execution of securities brokerage transactions <input type="checkbox"/> provision of consultations on investments in securities <input type="checkbox"/> asset management <input type="checkbox"/> guaranteed and not guaranteed placement of securities				
	Securities that the client has dealt with <input type="checkbox"/> shares <input type="checkbox"/> obligations <input type="checkbox"/> other (mark) _____				
	Transactions executed by the Client				
	nature	annual volume (mln. \$)	frequency (quantity in one year)	Date of last transaction (month/year)	
	<input type="checkbox"/> buy-sell				
	<input type="checkbox"/> placement				
	<input type="checkbox"/> other _____				
PERSONAL INFORMATION* (for physical persons)	Family status <input type="checkbox"/> Single <input type="checkbox"/> Married				
	Number of dependents ____				
	Education <input type="checkbox"/> secondary <input type="checkbox"/> high incomplete <input type="checkbox"/> high				
	Speciality and employment _____				
FINANCIAL INFORMATION	Annual income (mark all sources, in case of co-owners mark sum of income)				
	<input type="checkbox"/> up to US\$ 5,000 <input type="checkbox"/> US\$ 5,000-US\$ 10,000 <input type="checkbox"/> US\$ 10,000-US\$ 25,000 <input type="checkbox"/> US\$ 25,000-US\$ 100,000 <input type="checkbox"/> more than US\$ 100,000 (mark amount) _____				
	Sources of regular income (you can mark one or more cells) <input type="checkbox"/> employment <input type="checkbox"/> business				

interest dividends
 other (mark) _____

Approximate value of total assets

up to US\$ 10,000 US\$ 10,000-US\$ 25,000 US\$ 25,000-US\$ 100,000
 more than US\$ 100,000 (mark amount) _____

Approximate value of liquid assets

up to US\$ 10,000 US\$ 10,000-US\$ 25,000 US\$ 25,000-US\$ 100,000
 more than US\$ 100,000 (mark amount) _____

Amount of funds applicable for investments (including money and securities)

up to US\$ 10,000 US\$ 10,000-US\$ 25,000 US\$ 25,000-US\$ 100,000
 more than US\$ 100,000 (mark amount) _____

Approximate value of investments made

up to US\$ 10,000 US\$ 10,000-US\$ 25,000 US\$ 25,000-US\$ 100,000
 more than US\$ 100,000 (mark amount) _____

Information about real estate owned

	Description of real estate	Value of real estate
1		
2		
3		

Volume of annual financial outflows

up to US\$ 5,000 US\$ 5,000-US\$ 10,000 US\$ 10,000-US\$ 25,000
 US\$ 25,000-US\$ 100,000 more than US\$ 100,000 (mark amount) _____

BANK DETAILS*	Bank name	
	S.W.I.F.T.	
	Account number	
	Name of account	

I verify, that information represented in this document is reliable and complete. I understand that representation of false fact or information brings legal responsibility.

I agree to inform "ARMENBROK" OJSC in case of any changes in represented information.

 Client's (authorized person's) signature